



# Clearpoint Global Dividend Fund

Quarterly Portfolio Disclosure: September 30, 2017

## Fund Codes:

CWF171 – Front  
CWF172 – Low-Load  
CWF161 – Fee-Based  
CWF162 – Fee-Based  
(+\$1M)

## Fund Inception:

November 1, 1988

## Units Eligible for:

RRSP, RRIF, RESP,  
LIF, LRIF, LIRA, RDSP,  
TFSA

Caldwell Investment  
Management Ltd.

150 King Street West  
Suite 1702  
Toronto, Ontario  
M5H 1J9

Tel: 416-593-1798

Toll Free:

1-800-256-2441

Fax: 416-862-2498

www.caldwellinvestment.com

## SECURITY

## % OF HOLDINGS

### CANADA CASH & CASH EQUIVALENTS

CAD CASH BALANCE

0.4%

**0.4%**

### U.S. CASH & CASH EQUIVALENTS

USD CASH BALANCE

10.5%

**10.5%**

### U.S. STOCK & EQUITY

#### Consumer Discretionary

GENERAL MOTORS CO

2.4%

GENUINE PARTS CO

2.5%

**4.9%**

#### Consumer Staples

ALTRIA GROUP INC

2.2%

THE KRAFT HEINZ COMPANY

2.0%

PEPSICO INC

1.8%

PHILIP MORRIS INTERNATIONAL INC

2.5%

PINNACLE FOODS INC

2.4%

SYSCO CORP

2.5%

**13.4%**

#### Energy

CHEVRON CORP

2.0%

CONOCOPHILLIPS

1.3%

EXXON MOBIL CORP

3.1%

OCCIDENTAL PETROLEUM CORP

1.0%

**7.4%**

#### Financials

BRIGHTHOUSE FINANCIAL INC

0.3%

JP MORGAN CHASE & CO

3.8%

METLIFE INC

2.9%

PNC FINANCIAL SERVICE GROUP INC

4.2%

SENIOR HOUSING PROPERTIES TRUST

1.7%

WELLS FARGO & CO

3.0%

**15.9%**

#### Health Care

MERCK & CO INC

2.8%

**2.8%**



# Clearpoint Global Dividend Fund

Quarterly Portfolio Disclosure: September 30, 2017

Page 2

## Industrials

|                          |             |
|--------------------------|-------------|
| EMERSON ELECTRIC CO      | 3.5%        |
| GENERAL ELECTRIC COMPANY | 2.3%        |
| L3 TECHNOLOGIES INC      | <u>3.7%</u> |
|                          | <b>9.5%</b> |

## Information Technology

|                   |              |
|-------------------|--------------|
| CISCO SYSTEMS INC | 3.2%         |
| EXELON CORP       | 3.2%         |
| INTEL CORP        | 3.5%         |
| MICROSOFT CORP    | <u>3.9%</u>  |
|                   | <b>13.8%</b> |

## Materials

|            |             |
|------------|-------------|
| NUCOR CORP | <u>3.1%</u> |
|            | <b>3.1%</b> |

## Telecommunications Services

|                        |             |
|------------------------|-------------|
| AT&T INC               | 3.8%        |
| VERIZON COMMUNICATIONS | <u>3.3%</u> |
|                        | <b>7.1%</b> |

## Utilities

|                                     |             |
|-------------------------------------|-------------|
| AMERICAN ELECTRIC POWER INC         | 2.2%        |
| PUBLIC SERVICE ENTERPRISE GROUP INC | 3.0%        |
| SOUTHERN CO                         | <u>2.1%</u> |
|                                     | <b>7.3%</b> |

## INTERNATIONAL STOCK & EQUITY

### Health Care

|          |             |
|----------|-------------|
| UNILEVER | <u>3.9%</u> |
|          | <b>3.9%</b> |

---

|                        |               |
|------------------------|---------------|
| <b>TOTAL PORTFOLIO</b> | <b>100.0%</b> |
|------------------------|---------------|

---

Caldwell Investment Management Ltd.

150 King Street West  
Suite 1702  
Toronto, Ontario  
M5H 1J9

Tel: 416-593-1798  
Toll Free:  
1-800-256-2441  
Fax: 416-862-2498

www.caldwellinvestment.com



# Clearpoint Global Dividend Fund

*Quarterly Portfolio Disclosure: September 30, 2017*

Page 3

**Caldwell Investment  
Management Ltd.**

150 King Street West  
Suite 1702  
Toronto, Ontario  
M5H 1J9

Tel: 416-593-1798  
Toll Free:  
1-800-256-2441  
Fax: 416-862-2498

[www.caldwellinvestment.com](http://www.caldwellinvestment.com)

The information contained in this document is designed to provide general information related to investment alternatives and strategies and is not intended to be investment or any other advice applicable to the circumstances of individual investors. We strongly recommend you to consult with a financial advisor prior to making any investment decisions. Unless otherwise specified, information in this document is provided as of the date of first publication and will not be updated. All information herein is qualified in its entirety by the disclosure found in the Clearpoint Global Dividend Fund's most recently filed simplified prospectus. Information contained in this document has been obtained from sources we believe to be reliable, but we do not guarantee its accuracy. Commissions, trailing commissions, management fees and expenses all may be associated with mutual fund investments. Please read the prospectus before investing in this product. Unless otherwise indicated, rates of return are the historical annual compounded total returns including changes in unit value and reinvestment of all distributions and do not take into account sales, redemption, distribution or optional charges or income taxes payable by any security holder that would have reduced returns. Mutual funds are not guaranteed; their values change frequently and past performance may not be repeated. The Clearpoint Global Dividend Fund is a publicly offered mutual fund that offers its securities pursuant to a simplified prospectus dated August 8, 2017. Inception Date: November 8, 2010.